

**CITY OF SAN GABRIEL
FINANCE DEPARTMENT
MEMORANDUM**

DATE: May 28, 2013

TO: Mayor and City Council
City Treasurer

FROM: Thomas C. Marston, Finance Director

SUBJECT: **INVESTMENT REPORT - October 2012**

INVESTMENT	PURCHASE DATE	MATURITY DATE	INTEREST RATE	EFFECTIVE YIELD	INVESTMENT AMOUNT	MARKET VALUE
Bank Accounts						
General Account	06/25/99	Open	-	-	1,795,578.58	1,795,578.58
Payroll Account	06/25/99	Open	-	-	1,000,000.00	1,000,000.00
Workers' Compensation	03/01/93	Open	0.01%	0.01%	200,000.00	200,000.00
Ace Trench Funds	06/30/12	Open	-	-	200,000.00	200,000.00
Ambulance Billing	01/18/01	Open	0.01%	0.01%	5,000.00	5,000.00
Administrative Citations	07/11/08	Open	-	-	5,000.00	5,000.00
Property Management	02/28/11	Open	-	-	6,000.00	6,000.00
Parking Citation Processing	03/18/04	Open	-	-	5,000.00	5,000.00
Petty Cash	06/25/99	Open	-	-	3,450.00	3,450.00
Change Machine Fund	11/11/11	Open	-	-	1,500.00	1,500.00
Local Agency Investment Fund	07/01/88	Open	0.34%	0.34%	3,416,239.42	3,416,239.42
Mutual Funds						
DWS Strategic Gov. Securities	11/26/86	Open	3.22%	3.22%	208,415.73	208,415.73
Certificates of Deposit						
AIG Federal Savings Bank	01/16/08	01/14/13	4.45%	4.45%	100,000.00	100,000.00
American California Bank	02/02/12	02/02/17	1.47%	1.47%	100,000.00	100,000.00
AnchorBank	06/23/08	09/23/13	4.43%	4.43%	100,000.00	100,000.00
Asian Pacific National Bank	06/10/11	06/07/13	1.25%	1.25%	200,000.00	200,000.00
Banco Popular N.A.	10/03/11	10/03/16	1.24%	1.24%	95,000.00	95,000.00
BankCDA	02/07/08	02/07/13	3.85%	3.85%	100,000.00	100,000.00
Bank of Arkansas	04/27/08	04/29/13	3.90%	3.90%	100,000.00	100,000.00
Bank of Eastman	01/17/08	01/16/13	4.40%	4.40%	100,000.00	100,000.00
Bank of Marin	06/28/11	06/28/16	1.63%	1.63%	95,000.00	95,000.00

INVESTMENT	PURCHASE DATE	MATURITY DATE	INTEREST RATE	EFFECTIVE YIELD	INVESTMENT AMOUNT	MARKET VALUE
Certificates of Deposit (Cont'd)						
Bank of Oklahoma	04/28/08	04/29/13	3.90%	3.90%	100,000.00	100,000.00
Bank of the Orient	02/27/12	02/27/16	1.14%	1.14%	100,000.00	100,000.00
Bank of the Orient	08/26/10	08/26/15	2.23%	2.23%	150,000.00	150,000.00
Baraboo National Bank	03/17/09	03/17/14	3.20%	3.20%	100,000.00	100,000.00
Bank of Wisconsin-Kenosha	02/13/08	02/13/13	4.15%	4.15%	100,000.00	100,000.00
BBCN	01/18/08	01/18/13	4.50%	4.50%	100,000.00	100,000.00
BMO Harris Bank	05/30/08	05/29/13	4.59%	4.59%	100,000.00	100,000.00
BMO Harris Bank	05/29/08	05/29/13	4.59%	4.59%	100,000.00	100,000.00
Broadway Federal Bank	04/12/12	04/13/15	1.45%	1.45%	100,000.00	100,000.00
California Center Bank	06/26/11	06/26/16	1.70%	1.70%	100,000.00	100,000.00
California Pacific Bank	09/13/10	09/13/15	2.00%	2.00%	100,000.00	100,000.00
Capitol City Bank & Trust	05/17/11	05/17/16	2.42%	2.42%	100,000.00	100,000.00
Cedarstone Bank	12/20/07	12/20/12	4.70%	4.70%	100,000.00	100,000.00
Central Valley Community Bank	08/23/12	08/23/17	1.24%	1.24%	99,000.00	99,000.00
Citizens First Bank	01/22/08	01/22/13	4.55%	4.55%	100,000.00	100,000.00
Commerce State Bank	06/27/11	06/27/16	1.65%	1.65%	100,000.00	100,000.00
Community Bank of the Bay	09/14/09	09/15/14	2.25%	2.25%	100,000.00	100,000.00
Community 1st Bank	03/12/10	03/12/14	2.23%	2.23%	100,000.00	100,000.00
Continental Bank	05/11/10	05/11/15	2.40%	2.40%	100,000.00	100,000.00
Dakota Community Bank	06/15/09	12/15/14	2.55%	2.55%	100,000.00	100,000.00
Discover Bank	04/17/08	04/17/13	4.15%	4.15%	100,000.00	100,000.00
Discover Bank	02/25/11	02/25/16	2.60%	2.60%	100,000.00	100,000.00
DMB Community Bank	02/24/09	02/24/14	3.30%	3.30%	100,000.00	100,000.00
East West Bank	03/14/11	03/14/14	1.40%	1.40%	200,000.00	200,000.00
El Dorado Savings Bank	11/07/11	11/07/16	1.93%	1.93%	100,000.00	100,000.00
Enterprise Bank	05/01/08	05/01/13	4.25%	4.25%	100,000.00	100,000.00
Enterprise Bank	10/06/09	10/06/14	3.35%	3.35%	100,000.00	100,000.00
ESB Bank	07/16/12	07/17/17	1.05%	1.05%	100,000.00	100,000.00
Eva Bank	01/03/08	01/03/13	4.78%	4.78%	100,000.00	100,000.00
Essa Bank and Trust	01/31/12	01/31/17	1.55%	1.55%	100,000.00	100,000.00
Exchange Bank	01/09/08	01/09/13	4.55%	4.55%	100,000.00	100,000.00
Farmers & Merchant Bank	03/01/10	03/02/15	2.97%	2.97%	100,000.00	100,000.00
First California Bank	07/08/10	07/08/15	2.15%	2.15%	100,000.00	100,000.00
First Cornerstone Bank	08/25/08	08/26/13	5.00%	5.00%	100,000.00	100,000.00
First Cornerstone Bank	01/10/12	01/10/17	1.60%	1.60%	100,000.00	100,000.00

INVESTMENT	PURCHASE DATE	MATURITY DATE	INTEREST RATE	EFFECTIVE YIELD	INVESTMENT AMOUNT	MARKET VALUE
Certificates of Deposit (Cont'd)						
First Community Bank of East Ten	07/02/08	07/02/13	4.65%	4.65%	100,000.00	100,000.00
First General Bank	09/18/09	09/18/14	2.99%	2.99%	90,000.00	90,000.00
First General Bank	01/19/10	01/22/13	2.08%	2.08%	99,000.00	99,000.00
First National Bank of Las Animas	02/03/10	02/03/15	2.90%	2.90%	100,000.00	100,000.00
First National Bank of Fox Valley	11/15/10	11/17/14	1.25%	1.25%	100,000.00	100,000.00
First National Bank of Syracuse	04/12/11	04/12/16	2.00%	2.00%	100,000.00	100,000.00
First Republic Bank	09/14/10	09/14/15	2.15%	2.15%	100,000.00	100,000.00
First Republic Bank	01/28/10	01/28/15	2.50%	2.50%	100,000.00	100,000.00
First Utah Bank	09/05/08	09/05/13	4.50%	4.50%	100,000.00	100,000.00
Frontier State Bank	01/23/12	01/23/17	1.94%	1.94%	99,000.00	100,000.00
Frontier State Bank	01/23/12	01/23/17	1.94%	1.94%	99,000.00	100,000.00
Five Star Bank	06/22/12	06/22/17	1.15%	1.15%	100,000.00	100,000.00
Gateway Bank, F.S. B.	01/18/08	01/15/13	4.64%	4.64%	100,000.00	100,000.00
Gold Country Bank	09/12/11	09/12/16	1.79%	1.79%	100,000.00	100,000.00
Great Midwest Bank	03/13/09	03/13/14	3.30%	3.30%	100,000.00	100,000.00
Gunnison Bank and Trust	08/26/08	08/26/13	4.50%	4.50%	100,000.00	100,000.00
Hanmi Bank	09/13/10	09/13/13	1.90%	1.90%	100,000.00	100,000.00
Heritage Bank of Cent. IL	05/19/11	05/20/13	0.95%	0.95%	100,000.00	100,000.00
Insight Bank	01/11/08	01/11/13	4.65%	4.65%	100,000.00	100,000.00
Isabella Bank	04/14/10	04/14/15	2.85%	2.85%	100,000.00	100,000.00
IDB Bank	09/12/12	09/12/17	1.50%	1.50%	100,000.00	100,000.00
Kaw Valley State Bank & Trust	01/20/10	01/20/15	2.75%	2.75%	99,985.00	99,985.00
Key Bank	05/13/08	05/13/13	4.30%	4.30%	100,000.00	100,000.00
McFarland State Bank	03/10/09	03/10/14	3.10%	3.10%	100,000.00	100,000.00
Mercantile Trust & Savings	05/19/04	05/20/13	4.25%	4.25%	100,000.00	100,000.00
Metropolitan Bank	02/10/10	02/10/15	2.50%	2.50%	99,000.00	99,000.00
Mission National Bank	05/02/09	05/05/14	3.50%	3.50%	99,000.00	99,000.00
Monterey County Bank	05/04/11	05/04/16	2.25%	2.25%	100,000.00	100,000.00
Mound City Bank	07/24/08	07/24/13	5.00%	5.00%	100,000.00	100,000.00
Newburyport Five Cents Bank	09/03/09	09/03/14	3.05%	3.05%	100,000.00	100,000.00
Newburyport Five Cents Bank	05/19/11	05/19/16	2.10%	2.10%	100,000.00	100,000.00
NVB Business Bank	03/15/11	03/13/15	1.53%	1.53%	100,000.00	100,000.00
OneUnited Bank	02/08/10	02/12/14	2.40%	2.40%	100,000.00	100,000.00
OneWest Bank	06/05/06	12/05/12	4.42%	4.42%	100,000.00	100,000.00

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Certificates of Deposit (Cont'd)						
OneWest Bank	07/22/09	Matured	2.97%	2.97%	-	-
Pacific Commerce Bank	04/25/11	04/24/15	1.50%	1.50%	100,000.00	100,000.00
Pacific Mercantile Bank	10/09/12	10/09/12	1.02%	1.02%	100,000.00	100,000.00
Pacific Trust Bank	10/19/11	10/19/16	1.30%	1.30%	100,000.00	100,000.00
Pacific Western Bank	10/01/10	10/01/13	1.40%	1.40%	100,000.00	100,000.00
Pender State Bank	02/29/12	02/28/17	1.15%	1.15%	100,000.00	100,000.00
Redding Bank of Commerce	06/21/10	06/21/13	2.32%	2.32%	100,000.00	100,000.00
Saigon National Bank	05/30/11	05/30/14	1.59%	1.59%	100,000.00	100,000.00
Santa Clara Valley Bank	09/17/09	11/17/14	2.70%	2.70%	99,000.00	99,000.00
Seacoast Commerce Bank	02/28/12	02/28/17	1.83%	1.83%	100,000.00	100,000.00
Securant Bank & Trust	05/26/11	05/26/16	2.20%	2.20%	100,000.00	100,000.00
Security Bank of Pulaski	12/27/10	12/28/15	2.00%	2.00%	100,000.00	100,000.00
Sierra Vista Bank	06/22/11	06/22/16	1.39%	1.39%	100,000.00	100,000.00
Slovak Savings Bank	12/15/11	12/15/16	1.65%	1.65%	100,000.00	100,000.00
Slovak Savings Bank	12/22/11	12/22/16	1.65%	1.65%	100,000.00	100,000.00
State Bank of India	09/20/11	09/21/16	1.70%	1.70%	100,000.00	100,000.00
State Bank of India	06/13/11	06/13/16	2.00%	2.00%	100,000.00	100,000.00
State Savings Bank	02/01/10	02/02/15	2.70%	2.70%	100,000.00	100,000.00
Stearns Bank	06/13/11	06/13/16	2.25%	2.25%	100,000.00	100,000.00
Summit State Bank	09/21/11	09/21/16	1.60%	1.60%	100,000.00	100,000.00
The Bank	01/25/08	01/25/13	4.40%	4.40%	100,000.00	100,000.00
Thurston First Bank	06/20/08	06/20/13	4.76%	4.76%	100,000.00	100,000.00
Torrey Pines Bank	07/16/12	07/17/17	1.11%	1.11%	100,000.00	100,000.00
Torrey Pines Bank	01/27/10	01/27/13	1.75%	1.75%	100,000.00	100,000.00
TriSummit Bank	01/31/12	01/31/17	1.50%	1.50%	100,000.00	100,000.00
Virginia Commerce Bank	03/03/09	03/03/14	3.70%	3.70%	100,000.00	100,000.00
Waldo State Bank	01/02/08	01/02/13	4.65%	4.65%	100,000.00	100,000.00
Wilmington Trust	05/19/08	05/20/13	4.75%	4.75%	100,000.00	100,000.00
West One Bank	10/11/11	10/11/16	1.25%	1.25%	100,000.00	100,000.00
West Pointe Bank	11/15/10	11/17/14	1.30%	1.30%	100,000.00	100,000.00
					17,769,168.73	17,771,168.73

INVESTMENT	PURCHASE DATE	MATURITY DATE	INTEREST RATE	EFFECTIVE YIELD	INVESTMENT AMOUNT	MARKET VALUE
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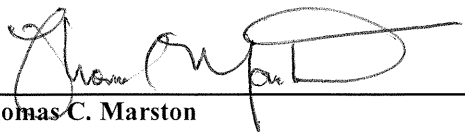
Portfolio Composition

		<u>% of Portfolio</u>
Bank Accounts	\$ 3,221,528.58	18%
L.A.I.F	3,416,239.42	19%
Mutual Funds	208,415.73	1%
Certificates of Deposit	10,922,985.00	61%
Federal Agency Bonds	-	0%
Total Cash and Investments	<u>17,769,168.73</u>	<u>100%</u>

Portfolio Compliance

This is to certify that the above report accurately reflects all City investments and is in conformity with the City's investment policy. The investments shown provide sufficient cash flow liquidity to meet the next six month's estimated expenditures. The weighted average maturity of the pooled investments program is approximately 15 months. Market prices of securities are obtained directly from Citizens Business Bank. The following percentage limitations are mandated by the City's Investment Policy. Actual percentages are disclosed to ensure compliance.

	<u>Investment Policy Compliance</u>	<u>Actual Investments</u>
Maturity within one year	At least 15% of Portfolio	60% of Portfolio
Single Federal Agency	No more than 20% of Portfolio	0% of Portfolio
Mutual Funds	No more than 15% of Portfolio	1% of Portfolio



Thomas C. Marston
Finance Director

**City of San Gabriel
October 2012 Investment Portfolio
\$17,769,169**

